



Law Offices of
Patrick J. Burns, Jr., P.C.

PRIVACY NOTICE

Last Updated: October 12, 2026

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we collect, use, and protect information about you, and the steps we take to safeguard that information. This notice is provided to you on behalf of The Law Offices of Patrick J. Burns, Jr., P.C. ("PJB Law," "we," "us," or "our"). It is intended to satisfy our obligations under the Gramm-Leach-Bliley Act and Regulation S-P, to the extent applicable, and under applicable state privacy laws, and it supplements, but does not limit, our professional duties of confidentiality to our clients.

Information We Collect: In connection with providing compliance services, we obtain nonpublic personal information about you. We collect this information for business purposes, including:

- Information we receive from you during client intake and subsequent contacts, such as your name, contact information, payment information, address, date of birth, Social Security number, occupation, business goals, and assets under management;
- Information such as your name, company, email address, and phone number if you sign up for our newsletter or attend a firm-sponsored event;
- Information about your transactions with us, our affiliates, or others;
- Information about your visits to our website, including IP address, browser and device information, and the date and time of pages visited, which we and our analytics provider collect through web server logs, cookies, and similar technologies (see "Cookies and Analytics" below); and
- Information we receive from third-party sources. Some links on our website direct you to websites operated by third parties. Information you submit on those websites is provided to their owners, not to PJB Law, and is subject to their separate privacy policies, not this notice.

Information We Disclose: We disclose information we collect only as described in this notice. PJB Law does not sell client lists, does not sell your name to telemarketers, and does not sell your personal information.

Parties to Whom We Disclose: We do not disclose information about you or your account with PJB Law except as follows:

- To entities that perform services for you or act on your behalf, such as financial service providers (for example, a broker-dealer, investment company, insurance company, or other investment adviser), at your direction or as needed to provide our services;
- To service providers that help us operate our business, such as data processors, client relationship management and technology providers, technical systems consultants, and providers that help us communicate with you;

- To your attorney, trustee, or anyone else who represents you in a fiduciary capacity;
- To our attorneys, accountants, or auditors; and
- To government entities or other third parties in response to subpoenas, court orders, or other legal process, as required by law, or to comply with regulatory inquiries.

Within the preceding 12 months, the categories of personal information we have disclosed to service providers and contractors for business purposes are: identifiers (such as name, email, address, and IP address); financial and payment information; professional information; and internet or other electronic network activity.

How We Use Information: We use your information to provide and administer our services, communicate with you, process payments, protect your accounts and nonpublic information from unauthorized access or identity theft, comply with legal and regulatory requirements, and cooperate with government and law enforcement officials as required by applicable law. If another company acquires our business or assets, that company will obtain the personal information we have collected and will assume the rights and obligations described in this notice.

Service Provider Oversight: We require service providers that receive client information to protect it against unauthorized access or use and to notify us promptly, and in any event within 72 hours, if they become aware of a breach affecting sensitive client information.

Cookies and Analytics: Cookies are small data files sent to your browser by a website and stored on your device. Our website uses Google Analytics, which places cookies and collects information such as your IP address, device identifiers, browser type, operating system, internet service provider, general location, and pages visited. We use this information to administer and improve our website. We encourage you to review Google's privacy policy to learn how Google uses this data. Where required by law, we will ask for your consent before placing non-essential cookies; closing or ignoring a cookie banner does not constitute consent, and declining cookies will be as easy as accepting them. You may block or delete cookies through your browser settings or opt out of Google Analytics using Google's browser add-on, available at <https://tools.google.com/dlpage/gaoptout>. Browser-based opt-outs apply only to the device and browser you use, so you must repeat the process on each device. If you refuse cookies, some portions of our website may not function properly.

Opt-Out Preference Signals: Our website recognizes opt-out preference signals, such as Global Privacy Control, as a valid request to opt out of the sale or sharing of personal information for the browser or device sending the signal, and indicates on the website when such a signal has been honored.

Our Security Policy: We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide services to you and perform their duties. We maintain physical, electronic, and procedural safeguards designed to protect confidential client information, and we properly dispose of client information when it is no longer needed.

Incident Response and Breach Notification: We maintain written policies and procedures for detecting, responding to, and recovering from unauthorized access to or use of client information. If your sensitive information is, or is reasonably likely to have been, accessed or used without authorization, we will notify you as soon as practicable, and no later than 30 days after we become aware of the incident, as

required by applicable law. Our notice will describe the incident, the type of information involved, and steps you can take to protect yourself.

Your Right to Opt Out: Federal privacy law gives you the right to limit some, but not all, sharing of your personal financial information. We share nonpublic personal information with nonaffiliated third parties only as permitted by law for everyday business purposes, such as to process transactions, maintain your account, respond to court orders and legal investigations, or as otherwise described above. Because we do not share your information in ways that would give rise to a federal right to opt out, no opt-out is required for these disclosures. If our practices change, we will provide you with a revised notice and an opportunity to opt out before any such sharing occurs.

Annual Notice: We will provide this notice when you become a client. Because we share information only as described above and our practices have not changed, we may not send an annual notice each year as permitted by law; the current version is always available on our website and upon request. If our practices change, we will provide an updated notice.

California Residents: If you are a California resident, how your information is protected depends on whether it is financial information governed by federal and California financial privacy laws or other personal information governed by the California Consumer Privacy Act, as amended ("CCPA").

Information Covered by the Gramm-Leach-Bliley Act and the California Financial Information Privacy Act

Nonpublic personal information that we collect in connection with providing financial-related services to you for personal, family, or household purposes, such as information you provide at client intake, your account and transaction information, and your payment information, is governed by the Gramm-Leach-Bliley Act, Regulation S-P (to the extent applicable), and the California Financial Information Privacy Act. This information is handled as described in the other sections of this notice. Under California law, we will not share this information with nonaffiliated third parties, other than as permitted by law, without your prior consent. This information is generally exempt from the CCPA's consumer rights provisions, although the CCPA's data breach remedies may still apply.

Other Personal Information Covered by the CCPA

Personal information that is not governed by those financial privacy laws is subject to the CCPA. This includes information collected through our website (such as IP addresses, device information, and cookie and analytics data), information you provide when you sign up for our newsletter or attend a firm-sponsored event, and information about business contacts and prospective clients. For this information, you may have the right to know what personal information we collect, use, and disclose; to access, correct, and delete it; to opt out of its sale or sharing; to limit the use of sensitive personal information; and to be free from discrimination for exercising these rights. You may confirm the status of an opt-out request, including one submitted through an opt-out preference signal, by contacting us using the information below.

If you are unsure which category your information falls into, or wish to submit a request, please contact us using the information below. Please see our California Privacy Addendum for further details and instructions for submitting requests.

Residents of Other States: Residents of other states with consumer privacy laws may have similar rights. To exercise any right available to you under applicable law,

please contact us using the information below. We will verify your identity before responding to a request.

Closed or Inactive Accounts: If you close your account or become an inactive client, this notice will continue to apply to you.

Complaints and Requests: Please direct complaints and privacy requests to: Patrick Burns, The Law Offices of Patrick J. Burns, Jr., P.C., 9229 Sunset Boulevard, Suite 520, West Hollywood, CA 90069; (310) 275-5059; info@pjblawoffice.com.

Changes to This Privacy Notice: If we make any material changes in how we use or disclose confidential information, we will notify you and post the updated notice, with its "Last Updated" date, on our website. If you have any questions about this notice, please contact us at: The Law Offices of Patrick J. Burns, Jr., P.C., 9229 Sunset Boulevard, Suite 520, West Hollywood, CA 90069; (310) 275-5059; info@pjblawoffice.com.